

## New Emerging Market of LED Lighting and Its Requirements Intertek Hong Kong



Presented by C.K. Lam, Technical Manager Date: 14 April 2010



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## LED Development

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#### What is LED product ?

- •Long life time (50,000 hours)
- Low maintenance
- Low voltage operation
- No UV and IR emission

#### Mercury free

- Small form factor, higher design flexibility
- No filament, resists vibration
- •Colour is tunable (by means of RGB)

#### The definition of a "light engine" by the IESNA:

An integrated assembly of:

- LED packages or array
- Optical components
- Thermal components
- •LED driver
- Other electrical components



## LED Development



#### For Traditional Lighting System

Quality control for lamp bulb manufacturers:

> Lumen, color rendering, life, thermal issues, etc.

Quality control for luminaries manufacturers:

> Light distribution, thermal issues, etc.

#### LED has both characteristics of lamp bulb and luminaries:

- Sensitive to temperature (Thermal Management)
- Complicated optic design
- Photobiological issues (Blue light)
- Control dirt accumulation



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Until this moment, there are only safety and EMC requirements on LED product for new emerging market which are following the IEC/EN standard.

For performance requirements, there are no standard and regulations until this moment, such as ELI, MEPS and SASO program.

With reference to the development history of CFL, all of performance standards may be developed according the well established standard from U.S.A. and EU.





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## **Total Lighting Solution**



#### Key Test requirements for LEDs:

- Photo-biological Safety
- Performance Testing
  - Light Efficacy
  - Light Distribution
  - Energy Efficiency
- Safety Testing
- EMC, FCC





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## Photo-biological Safety- EU market

White light LEDs were widely used in most of LED products which has the unique "Blue Light" hazard.





Photo-biological safety

The Spectroradiometer system were introduced, which can measure a light source with spectral range 200-1700nm. (From UVC to IRB), and can be further expanded to 3000nm.

With the Spectroradiometer system, we can perform the LED photo-biological safety testing (i.e. EN 62471) and Ultra-Violet radiation measurement according to different requirement (e.g. ErPs directvie)





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### Photo-biological safety



#### Spectroradiometer, fully automated 200-1700nm





#### FOV For Radiance Measurement (CI.4.2)

Measurement FOV	Blue Light	Retinal Thermal	Retinal Thermal (weak visual stimulus)
Exempt	100 mrad	11 mrad	35 mrad
Group 1	11 mrad	11 mrad	11 mrad
Group 2	1.7mrad	1.7 mrad	11 mrad



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Photo-biological safety



FOV For Radiance Measurement (CI.4.2)

# Physiological" Radiance, FOV independent of actual source extent









### **Example – Classification**

Figure A.4 shows an example of a "pc-white" LED component, where the  $B(\lambda)$ -weighted radiance was measured with an imaging radiance-meter.



У <sub>гоv</sub> [mrad]	Measured [W·m <sup>-2</sup> ·sr <sup>-1</sup> ]	Limit [W·m <sup>-2</sup> ·sr <sup>-1</sup> ]
100 (Exempt Risk Group)	250	100
11 (Risk Group 1)	7,5 × 10 <sup>9</sup>	1 × 10 <sup>4</sup>
1,7 (Risk Group 2)	1,1 × 10*	4 × 10 <sup>6</sup>

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## Classification (Cl. 6)

Exempt Group (RG 0)

Risk Group 1 (Low-Risk)

Risk Group 2 (Moderate-Risk)

Risk Group 3 (High-Risk)

Risk Group	Philosophical Basis
Exempt	No photobiological hazard
Group 1	No photobiological hazard under normal behavioural limitations
Group 2	Does not pose a hazard due to aversion response to bright light or thermal discomfort
Group 3	Hazardous even for momentary exposure





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## Permissible Exposure Time (Cl. 6)

	Exposure	time befo	re hazard	exceeded
Hazard	Exempt	group 1	group 2	group 3
Actinic UV	30000	10000	1000	-
UVA hazard	1000	300	100	-
Blue Light Radiance	10000	100	0.25	-
Blue Light Small Source	10000	100	0.25	-
Retinal Thermal	10	10	0.25	-
Retinal Thermal weak visual	1000	100	10	-
IR Eye	1000	100	10	_

Unit: s

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## Photo-biological safety



#### Labeling – IEC62471-2 (Cl. 5.4)

Hazard	Exempt	Group 1	Group 2	Group 3
Actinic UV	-	NOTICE UV emitted from this product. Minimise exposure to eyes or skin. Use appropriate shielding.	CAUTION. UV emitted from this product. Eye or skin irritation may result from exposure. Use appropriate shielding.	WARNING. UV emitted from this product, Avoid eye and skin exposure to unshielded product.
UVA	-	NOTICE UV emitted from this product. Minimise exposure to eyes or skin. Use appropriate shielding.	CAUTION. UV emitted from this product. Eye or skin irritation may result from exposure. Use appropriate shielding.	WARNING. UV emitted from this product, Avoid eye and skin exposure to unshielded product.
Blue Light Radiance	-	-	CAUTION. Possibly hazardous optical radiation emitted from this product. Do not stare at operating lamp. May be harmful to the eye	WARNING. Possibly hazardous optical radiation emitted from this product. Do not look at operating lamp. Eye injury may result.

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## Photo-biological safety



## Labeling – IEC62471-2 (Cl. 5.4)

Hazard	Exempt	Group 1	Group 2	Group 3
Retinal Thermal Hazard	-	_	CAUTION. Possibly hazardous optical radiation emitted from this product. Do not stare at operating lamp. May be harmful to the eye	WARNING. Possibly hazardous optical radiation emitted from this product. Do not look at operating lamp. Eye injury may result.
IR radiation eyes	-	NOTICE IR emitted from this product. Use appropriate shielding or eye protection.	CAUTION. IR emitted from this product. Do not stare at operating lamp.	WARNING IR emitted from this product. Avoid eye exposure. Use appropriate shielding or eye protection.
Retinal Thermal Hazard Weak Visual	-	WARNING IR emitted from this product. Do not stare at operating lamp	WARNING IR emitted from this product. Do not stare at operating lamp	WARNING IR emitted from this product. Do not look at operating lamp
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## **Performance Testing**

#### Lamp Efficacy

Two integrating sphere were setup with spectrometer system and perform the test with following test method:

- CIE 13.3
- CIE 15
- CIE 18
- CIE 84
- CIE 97

According to following requirement:

- IEC/EN 60969
- IEC/EN 60901
- IEC/EN 60064
- IEC/EN 60357

**Testing Services:** 

Energy Star, EST, ELI, MEPS, HKEELS ... etc





#### **Light Distribution**

The Goniophotometer system were setup and suitable to measure the spatial luminous intensity distribution of lighting luminaires, according with the requirements

- CIE 70 /121
- IEC/EN 61341

Then to analyze the luminaire photometric parameters, including:

- Intensity distribution
- iso-intensity curve
- iso-illuminance curve
- Iuminance limitation curve
- effective beam angle

**Testing Services:** 

Energy Star, EST, ELI, MEPS ... etc

- effective luminous flux
- upward and downward luminous flux
- utilization factor
- luminaire efficiency ...etc.



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## Type C Mirror Type Goniophotometer



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**Rotating Mirror** 

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## **Energy Efficiency Requirements**

#### **European Market:**

- ErP (Energy-related Product) Directive (Mandatory)
- Energy Saving Trust EST (UK Voluntary Program)

#### **North American Market:**

- Energy Star (Voluntary Program)
- California Energy Commission CEC (Mandatory Program for California)







#### Energy Efficiency Requirements - Europe ErP Directive - EC244/2009

Stage	Date	Main result
Stage 1	1 Sept 2009	Start of incandescent lamp phase-out (lamps of ≥100W) + raising the level to A-class for Non-clear lamps (CFLs) + functionality
Stage 2	1 Sept 2010	Phase-out of 75W incandescent lamps and product information requirements
Stage 3	1 Sept 2011	Phase-out of 60W incandescent lamps
Stage 4	1 Sept 2012	Complete incandescent lamp phase-out (40W and 25W)
Stage 5	1 Sept 2013	More ambitious functionality requirements
Stage 6	1 Sept 2016	Raising the minimum level to B class for clear lamps (phasing out C-class halogen lamps other than the one with G9 or R7s cap)

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#### Lamp Efficacy Requirements - Europe ErP Directive - EC244/2009

Application Date	Energy class equivalents		
	Clear lamps	Non-clear lamps	
Stages 1 to 5	Energy class C	Energy class A	
Stage 6	Energy class B	Energy class A	

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#### **Energy Saving Trust - UK** Performance Standards:

## **61341** Method of measurement of centre beam intensity and beam angle(s) of reflector lamps.

62384 DC and AC supplied electronic control gear for LED modules.

**IEC/PAS 62612 Ed. 1** Self-ballasted led-lamps for general lighting services >50

**ANSI NEMA/ANSLG C78.377**: Specifications for chromaticity of solid state lighting products.

**Other Standards:** 

ISO 9001:2000 Requirements for a Quality Management system

ISO/IEC 17025 General Requirements for the Competence of Calibration and Testing Laboratories

60669-2-1:2004 Switches for household and similar fixed electrical installations. Electronic switches

IEC 62504 Terms and definitions of LEDs and LED modules in general lighting. (Draft)





#### **Energy Star – North America**

- SSL Luminaires Version 1.1 (Effective: 1st February, 09)
- Integral LED Lamps Version 1.0 (Effective: 31st August, 10)

#### **Reference Testing Standards:**

- IESNA LM 79: Approved Method: Electrical and Photometric Measurements of Solid State Lighting
- IES LM 80: Approved Method: Measuring Lumen Maintenance of LED Light Sources





#### <u>Energy Star – North America</u> SSL Luminaires

Scope:

#### SSL Luminaires Version 1.1:

- **1.** SSL products used for general illumination
- **2.** Residential and commercial products
- **3.** Products connected to the electric power grid *Exemption:*
- **1.** SSL products made for indication
- **2.** Products exclusively intended for decoration
- **3.** Intended for retrofit into existing fixtures



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#### Energy Star – North America SSL Luminaires

#### Test items:

- **1.** Lamp Efficacy (Lumens/watt)
- **2.** Lumen maintenance of LED Light Sources (L<sub>70</sub>)
- 3. CCT and CRI
- **4.** Color Spatial Uniformity
- **5.** Color maintenance
- **6.** Zonal density requirement
- 7. Off-state Power
- **8.** Thermal Management
- **9.** Power Factor
- **10.**Transient Protection
- **11.**Operating Frequency
- **12.**Electromagnetic Interference

...etc





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#### **Energy Star – North America SSL** Luminaires

## Zonal density requirement Table 3 - Zonal Lumen Tolerances

Category A Application	Zonal Lumen Density Requirement		Tolerance	Method of Measurement	
	Zone (bilaterally symmetrical)	Minimum Percentage of Total Lumens	Maximum Percentage of Total Lumens	(Values below are subtracted from minimum % values on the left)	
Under-cabinet kitchen lighting	0-60°	60%		- 5%	
	60-90°	25%		- 10%	
Under-cabinet shelf-mounted task	0-60°	60%		- 5%	
lighting	60-90°	25%		- 10%	
Under-cabinet shelf-mounted task lighting (asymmetrical distribution)	60-90°	12.5%		- 3%	
Portable desk task lights	0-60°	85%		- 15%	
Recessed, surface, and pendant- mounted downlights	0-60°	75%		- 10%	
Cove lighting	120 - 150°	35%		- 3%	IESNA LM-79-
Surface-mounted luminaires with directional heads	0 - 90°	85%		- 3%	08 Section 9.1
Wall wash luminaires	20 - 40°	50%		- 3%	
Outdoor wall-mounted porch lights	0-90°	85%		- 5%	
Outdoor step lights	0-90°	85%		- 10%	
Outdoor pathway lights	0-90°	85%		- 10%	
Outdoor polo/arm mounted	0-90°	85%		- 3%	
decorative luminaires	110° and above		0%	+ 3%	
	90 – 110%		0 – 15%	+ 3%	]
Bollards	110° and above		0%	+ 3%	



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Scope:

- 1. Integral LED lamps
- **2.** Non-standard form and standard form, including nondirectional lamps, decorative lamps and reflector lamps.
- **3.** Other types of replacement lamps may be added in the future





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#### Energy Star – North America Integral LED Lamps

#### Test items:

- **1.** Lamp Efficacy (Lumens/watt)
- 2. Lumen maintenance at the end of 6000 hours
- **3.** CCT and CRI
- 4. Luminous intensity distribution
- **5.** Power Factor
- 6. Rapid Cycle Stress Test
- **7.** Transient Protection
- 8. Operating Frequency
- 9. Electromagnetic Interference10.Base
- ...etc









#### **Energy Star – North America**

#### **Integral LED Lamps -** Claimed Lamp Life vs Test Periods (Lumen Maintenance)

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Table 1. 6000-Hour Lumen Maintenance Thresholds			
	Minimum lumen maintenance at end of 6000 hours (% of initial lumens; -3% tolerance)	Maximum L <sub>70</sub> Life Claim (hours)	ENERGY STAR Approval Available After 6000-hour test
Minimum for Decorative	86.7%	15,000	Full approval
Optional for Decorative	89.9%	20,000	(no additional
Minimum for Non-standard, Omnidirectional, and Directional Optional for Decorative	91.8%	25,000	lumen maintenance testing required)
Optional for All Lamp Types	93.1%	30,000	Initial approval,
	94.1%	35,000	pending
	94.8%	40,000	completion of
	95.4%	45,000	total required
	95.8%	50,000	test period (see Table 2 below)

Table 2. Total Required Test Periods for Life Claims >25,000 Hours				
Minimum cumulative test period (hours)	Minimum lumen maintenance at end of test period (% of initial lumens; -3% tolerance)	Maximum L <sub>70</sub> Life Claim (hours)		
7,500	91.2%	30,000		
8,750	91.5%	35,000		
10,000	91.5%	40,000		
12,500	91.8%	50,000		



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#### <u>CEC – North America</u>

#### Minimum Requirements for Portable LED Luminaires, and Portable Luminaires with LED Light Engines with Integral Heat Sink

Criteria	Requirement
Light Output	≥ 200 lumens (initial)
Minimum LED Luminaire Efficacy	29 lumens/W
Minimum LED Light Engine Efficacy	40 lumens/W
Color Correlated Temperature (CCT)	2700 K through 5000 K
Minimum Color Rendering Index (CRI)	75
Power Factor (for luminaires labeled or sold for residential use)	≥ 0.70

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## **Electrical Safety - LED Part**





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## **Electrical Safety - LED Part**

#### **IEC/EN** standards:

IEC/EN 60598 series: Luminaries, LED fixture

- IEC/EN 60968 : Integrated LED Bulb
- IEC/EN 61347-2-13: Electronic controlgear for LED modules
- IEC/EN 62031: LED modules for general lighting

#### **NA standards:**

UL 8750: Light Emitting Diode (LED) Equipment for Use In Lighting Products

- UL 1598: Fixed Luminaire
- UL 153: Portable Luminaire
- UL 1573: Stage and Studio Luminaire
- UL 1993: Self-ballast lamp
- UL 1786: Direct Plug-in Nightlight
- UL 1838: Low Voltage Landscape Lighting







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## **EMC/FCC** Requirements







# EMC/FCC Requirements

#### Europe:

#### **Emission**:

EN55015 : 2006 (Electrical Lighting and Similar Equipment)

EN61000-3-2 : 2006 (Public low-voltage distribution systems up to 16A current.)

EN61000-3-3 : 1995 + A1 : 2001 + A2 : 2005 (ditto)

#### Immunity:

EN61547 : 1995 + A1 : 2000 (Applied to general lighting)

#### **North America:**

FCC Part 18:

- a) Radiated emission and
- b) Conducted emission.





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#### **Development Speed :**

Market penetration > Standards & Regulations development

#### **Foreseeable problem within LED industries**

(Repeat the historical problems of CFL)

Once the standard of US and Europe were well established, all others countries will also follow, e.g. ELI.

The painful filtering process within LED manufacturers will greatly impact the development of LED market.

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